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Form ADV: Part 2A Firm Brochure

July 12<sup>th</sup> 2018

Johnson's Global Advisors

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This Brochure provides information about the qualifications and business practices of Johnson's Global Advisors. If you have any questions about the contents of this Brochure, please contact us at [info@johnsonsglobaladvisors.com](mailto:info@johnsonsglobaladvisors.com).

The information in this Brochure has not been approved or verified by the United States Securities and Exchange Commission (SEC) or by any state securities authority. Johnson's Global Advisor is a State registered investment adviser.

Registration of an Investment Advisor does not imply any level of skill or training. The oral and written communications of an Advisor provide you with information which you utilize to determine whether to hire or retain an Advisor. Additional information about Johnson's Global Advisors is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) IARD # 152757

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ITEM 2 – MATERIAL CHANGES

Johnson's Global Advisors, as of the implementation of this current brochure, has a material changes moving its principal offices from Colorado to Nevada.

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#### ADVISORY BUSINESS

Johnson's Global Advisors is a privately owned Nevada company headquartered in Las Vegas, NV. The principal owner is Martin V. Johnson Jr., Chief Executive Officer.

#### Advisory Services Offered

We provide our clients with low-cost investment management services with respect to their investment accounts. We will manage accounts principally on a discretionary basis, which means our clients give us the authority to buy and sell securities for their accounts at our discretion ("discretionary authority"). We will provide services on a non-discretionary basis as well.

Johnson's Global Advisors has the ongoing responsibility to select and make recommendations, based upon the objectives of the client, as to specific securities or other investments that we purchase or sell in client accounts.

Johnson's Global Advisors provides portfolio management services to clients through managing portfolios designed to mimic the holdings and allocations of various published, blended and customized indices.

The majority of portfolios Johnson's Global Advisors manage for our clients invest exclusively in global/international equities and fixed income index utilizing strategies whose objective is tracking the client specified index, available through separately managed or commingling accounts.

Johnson's Global Advisors specializes in managing well-balanced and globally-diversified client accounts. We use stock and bond index funds, mutual funds and Exchange Traded Funds (ETFs) that have similar characteristics as index funds. Index funds are mutual funds or ETFs that aim to achieve the same return as a particular market index or asset class.

We provide our investment management services to our clients by managing their accounts according to the particular investment strategy selected by the client. We apply the client's selected investment strategy based on the client's general financial and tax situation.

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Johnson's Global Advisors provides its portfolio management services to individuals, banks, thrift institutions, pension plans, profit sharing plans participants, trusts, endowments, charitable organizations, and corporations. Each client has the opportunity to place reasonable restrictions on the types of investments to be held in the portfolio or to customize the portfolio's goals and objectives. Each portfolio is designed to track the performance in the client's account to reflect changes within the index.

There will typically be some variation between the performance of a client's account and the index it is designed to track, due to client-imposed trading restrictions, client contributions to and withdrawals from accounts, timing of trades, timing of cash flows resulting from mergers and acquisitions affecting portfolio holdings, advisory fees and trading costs, among other factors.

#### Current List of Products/Strategies

Large Cap

Mid Cap

Small Cap

Broad Market

International

Fixed Income

Alternative Indexing

Real Estate Investment Trust

Customized Strategies

#### ITEM 5 - FEES AND COMPENSATION

No Minimum Account Size:

\$1,000,000 and Below - 0.80%

\$1,000,001 to \$2,000,000 - 0.60%

\$2,000,001 to \$3,000,000 - 0.40%

\$3,000,001 to \$5,000,000 - 0.20%

Above \$5,000,000 – Negotiable \_\_\_%

### Termination of Agreement

A client agreement may be canceled at any time, by either party, for any reason upon receipt of 30 days written notice, or such other period as may be agreed upon, in writing, by the parties. Upon termination of any account, any fees due will be charged to the client in the event of any prepaid fees. In the event that there are any unearned fees, they will be promptly refunded to the client.

The client has the right to terminate an agreement without penalty within five business days after entering into the agreement. In the event of a client's death or disability, we will continue management of the account until an authorized party notifies us of client's death or disability and provides alternate instructions.

### Billing Method

Our investment management fees are charged and payable quarterly in arrears following the end of each calendar quarter. The management fee payable by client to advisor will be calculated and charged as follows: One-fourth of the annual management fee payable to advisor will be charged each quarter based upon the account's market value on the last business day of the applicable quarter. "Client Relationship" is defined as an individual; his or her spouse or domestic partner; his or her minor children; a business or non-profit entity over which the individual and/or other person exercises exclusive control and trust as to which the individual defined above is the sole trustee. We will aggregate client accounts that have family or business relationships with each other for purposes of calculating the investment management fees.

The formula used for terminating client account investment management fees is as follows:  $\{ \text{Value of assets} \} \text{ on the close on the date of termination} \times \{ \text{a Factor Equal to the Number of Days' Assets Were in a Client's Account in the Calendar Quarter} \} / \{ \text{Number of Days in the Calendar Year} \} \times \{ \text{Annual Fee Rate} \}$ .

For investment management fee calculation purposes, a "calendar quarter" is a period beginning on the first day of the month during a new calendar quarter (January, April, July and October) and ending on the last day of the month of a calendar quarter (March, June, September and December).

A "day" is any calendar day including weekends and holidays. We will withdraw our investment management fee from each client's account at Vanguard/Interactive Brokers or current custodian. We will withdraw our investment fee with the client's written authorization. Custodian withdraws investment management fees from the client's account based on our written authorization upon termination. We will instruct custodian to debit all outstanding unpaid investment management fees.

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All clients will receive brokerage statements from custodian no less frequently than quarterly. The custodian statement will show the deduction of the investment management fee withdrawn directly from their account. We do not require or accept investment management fees in advance.

#### Other Fees and Expenses

Our investment management fees do not include custodian fees. Clients pay all brokerage commissions (transaction fees), stock transfer fees, and/or other similar charges incurred in connection with transactions in accounts from the assets in the accounts.

We describe additional information about brokerage in brokerage practices below. In addition, any mutual fund shares and/or ETFs held in a client's account will be subject to fund-related fees and expenses that are described in the prospectus of the mutual fund or ETF. All fees paid to Johnson's Global Advisors for investment management services are separate and distinct from the fees and expenses charged by index funds, mutual funds and ETFs.

#### Other Compensation

Neither Johnson's Global Advisors nor any person providing investment advice on its behalf ("investment personnel") accepts any compensation or revenue in connection with the management of client accounts, except for the investment management fees charged directly to clients as stated in the Fee Schedule above.

#### ITEM 6. PERFORMANCE BASED FEES

Neither Johnson's Global Advisors nor any of its investment personnel, charge performance-based fees that are based on a share of capital gains on, or capital appreciation of, the assets of a client.

#### ITEM 7. TYPES OF CLIENTS

We offer discretionary investment management services to individuals, high net worth individuals, trusts, estates, endowments, institutions, and individual participants of retirement plans.

#### Account Requirements

Johnson's Global Advisors has no minimum client's account size requirement and we reduce fees at our discretion.

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#### METHODS OF ANALYSIS, INVESTMENT STRATEGIES AND RISK OF LOSS

Johnson's Global Advisors generally use diversification in an effort to optimize the risk and potential return of a portfolio. More specifically, we utilize multiple asset classes, investment styles, market capitalizations, sectors, and regions to provide diversification. Johnson's Global Advisors general investment strategy is to seek a total return proportionate to the level of risk the client decides to take.

We assist each client in developing an Investment Policy Statement (IPS), which typically outlines the client's general financial situation, investment objectives, liquidity needs, time horizon, return objective, and risk tolerance, as well as any special considerations and/or restrictions the client chooses to place on the management of the client accounts.

We will then make model investment allocation recommendations, either aggressive, moderate or conservative, that are consistent with the client's IPS. Client accounts with a similar investment objectives and asset allocation goals may own the same or different securities. Tax factors also influence investment decisions. Clients who buy or sell securities on the same day may receive different prices based on the timing of the transactions during open market hours. Each client will maintain a target asset allocation.

Johnson's Global Advisors utilizes ETFs and full index replication techniques, whenever possible, in which all or substantially all equities in the appropriate index (or product specific portion of the index) are held in the client's portfolio, subject to client-imposed restrictions, in the appropriate allocations. Generally, we review client accounts quarterly to evaluate the extent to which the actual allocation matches the target allocation.

When we consider the variance excessive, based on an upper and lower limit band that is subject to change based on the parameters outlined in the IPS, we will take appropriate actions (by buying or selling securities) to bring the actual allocation within acceptable range of the target allocation. We refer to this process as "rebalancing." The process of rebalancing offers a systematic process to buy or sell securities when investment categories (asset classes) vary from its target.

#### Methods of Analysis for Selecting Securities

In analyzing portfolios, index funds, and ETFs, Johnson's Global Advisors use various sources of information, products provided by industry websites, and other online and subscription resources as needed. There can be no assurance that any such strategy or analysis will prove profitable or successful.

#### Risk of Loss Considerations



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Prior to entering into an Investment Management Agreement with Johnson's Global Advisors each client should carefully consider:

1. That investing in securities involves risk of loss, which clients should be prepared to bear.
2. That securities markets experience varying degrees of volatility.
3. That over time, the client's assets may fluctuate and at any time be worth more or less than the amount invested.
4. That clients should only commit assets that are long-term in nature. This is typically a minimum of a ten-year time horizon. There can be no guarantee that an asset allocation strategy will meet its investment objectives or that it will not suffer losses.

#### Specific Risks of Securities

##### Exchange Traded Funds (ETFs)

An ETF is a type of investment company (usually, an open-end fund or unit investment trust) containing a basket of stocks or bonds that usually tracks a specific index or sector. An ETF is similar to an index fund in that it will primarily invest in securities of companies that are included in a selected market index or that fall into a particular sector. Unlike traditional mutual funds, which can only be redeemed at the end of a trading day, ETFs trade throughout the day on an exchange.

Like stock and bond mutual funds, the prices of the underlying securities and the overall market may affect ETF prices. Similarly, factors affecting a particular industry segment may affect ETF prices that track specific sectors. An investment in an ETF could lose money over short or even long periods. You should expect the ETFs share price and total return to fluctuate within a wide range, like the fluctuations of the overall stock market.

##### Index Fund

"Indexing" is a passive form of fund management that has been successful in outperforming most actively managed mutual funds. While the most popular index funds track the S&P 500, a number of other indices, including the Russell 2000 (small companies), the DJ Wilshire 5000 (total stock market), the MSCI EAFE (foreign stocks in Europe, Australasia, Far East) and the Lehman Aggregate Bond Index (total bond market) are widely used for index funds. Investing in an index fund is a form of passive investing. The primary advantage to such a strategy is the lower management expense ratio on an index fund. Also, a majority of mutual funds fail to beat broad indexes, such as the S&P 500.

##### Different Types of Funds

When it comes to investing in mutual funds and ETFs, investors have literally thousands of choices. Most mutual funds and ETFs fall into one of three main categories: money market funds, bond funds (also

called ("fixed income" funds), and stock funds also called ("equity" funds). Each type has different features and different risks and rewards. Generally, the higher the potential return, the higher the risk of loss.

### Bond Funds

Bond funds generally have higher risks than money market funds, largely because they typically pursue strategies aimed at producing higher yields. Unlike money market funds, the SEC's rules do not restrict bond funds to high quality or short term investments. Because there are many different types of bonds, bond funds can vary dramatically in their risks and rewards. Some of the risks associated with bond funds include:

**Credit Risk** - refers to the risk that companies or other issuers may fail to pay their debts (including the debt owed to holders of their bonds). Consequently, this affects mutual funds and exchange-traded funds (ETFs) that hold these bonds. Credit risk is less of a factor for bond funds that invest in insured bonds or U.S. Treasury Bonds. By contrast, those that invest in the bonds of companies with poor credit ratings generally will be subject to higher risk.

**Interest Rate Risk** - refers to the risk that the market value of bonds will go down when interest rates go up. Because of this risk, investors can lose money in any bond fund, including those that invest only in insured bonds or U.S. Treasury Bonds. Funds that invest in longer term bonds tend to have higher interest rate risk.

**Prepayment Risk** – refers to the risk incurred when issuers choose to pay off debt earlier than the stated maturity date on a bond. For example, if interest rates fall, a bond issuer may decide to "retire" its debt and issue new bonds that pay a lower rate. When this happens, the bond fund may not be able to reinvest the proceeds in an investment with as high a return or yield.

### Money Market Fund

An investment whose objective is to earn interest for shareholders while maintaining a net asset value (NAV) of \$1 per share. A money market fund's portfolio is comprised of short-term (less than one year) securities representing high-quality, liquid debt and monetary instruments. Investors can purchase shares of money market funds through mutual funds, brokerage firms and banks.

### Stock Funds

A stock fund's value can rise and fall quickly (and dramatically) over short or even long periods. You should expect a fund's share price and total return to fluctuate within a wide range. Overall "stock market risk" poses the greatest potential danger for investors in stock funds. Stock markets tend to move in cycles, with periods of rising prices and periods of falling prices. Stock prices can fluctuate for a broad range of reasons—such as the overall state of the economy or demand for particular products or services.

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Not all stock funds are the same, for example:

#### Small Cap Funds

Small Cap Funds are funds that invest in stocks of small companies and involve additional risks. Smaller companies typically have higher risk of failure, and are not as established as larger companies. Historically, smaller company stocks have experienced a greater degree of market volatility than the overall market average.

#### Broad-Based Index Funds

An index designed to reflect the movement of the entire market. The smallest broad-based index is the Dow Jones Industrial Average with 30 industrial stocks and the largest is the Wilshire 5000 Total Market Index. Other examples include the S&P 500, Russell 3000 Index, AMEX Major Market Index and the Value Line Composite Index.

#### International Funds

International Funds are funds that invest in foreign securities and also involve special additional risks. International investments are subject to stock market risk as well as additional risks, including currency fluctuation, political instability, country/regional risk, and potential illiquid markets.

#### Emerging Market Funds

Emerging market investments involve stock market risk and the same risks as international investments. Investing in emerging markets may accentuate those risks.

#### Real Estate Investment Trust (REIT) Funds

REIT Funds include REITs within the underlying fund holdings, REITs primarily invest in real estate or real estate-related loans, Equity REITs own real estate properties, while mortgage REITs hold construction, development, and/or long-term mortgage loans. REIT investments include liquidity and interest rate risk.

#### Alternative Investment Funds

An investment that is not one of the three traditional asset types (stocks, bonds and cash). Most alternative investment assets are held by institutional investors or accredited, high-net-worth individuals because of their complex nature, limited regulations and relative lack of liquidity. Alternative investments include hedge funds, managed futures, real estate, commodities and derivatives contracts.

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#### Customized Strategies'

Customized Investment- Portfolios are formulated for a client's specific investment objectives or desired restrictions.

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#### DISCIPLINARY INFORMATION

Neither Johnson's Global Advisors nor its principal owner Martin V. Johnson Jr., CEO, has any reportable disciplinary information to disclose.

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#### OTHER FINANCIAL INDUSTRY ACTIVITIES AND AFFILIATIONS

We do not have any relationships or arrangements with broker dealers, mutual funds, other investment advisers, or other entities that create any material conflict of interest for us in rendering investment management services to our clients. As noted below under "Client Referrals and Other Compensation," we may periodically recommend that a client consult an unaffiliated individual or firm for specific professional services, such as financial planning, estate planning, or accounting-related work, but we receive no compensation or other monetary benefit for making such referrals. It is possible that such individual or firm will refer clients to us, but we pay no compensation for, and have no arrangement regarding, such referrals.

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#### CODE OF ETHICS, PARTICIPATION OR INTEREST IN CLIENT TRANSACTIONS AND PERSONAL TRADING

##### CODE OF ETHICS

Johnson's Global Advisors believes that we owe clients the highest level of trust and fair dealing. As part of our fiduciary duty, we place the interests of our clients ahead of the interests of Johnson's Global Advisors and our personnel. Our personnel are required to conduct themselves with integrity at all times and follow the principles and policies detailed in our Code of Ethics.

We attempt to address specific conflicts of interest that either we have identified or that could likely arise. Our personnel are required to follow clear guidelines from the Code of Ethics in areas such as gifts and entertainment, other business activities, and adherence to applicable state and federal securities laws. Additionally, investment personnel who make securities recommendations to clients, or who have access to nonpublic information regarding any client's purchase or sale of securities, are subject to personal trading policies governed by the Code of Ethics (see below).

Johnson's Global Advisors prohibits all personnel from acting upon any material, non-public information, as defined under federal securities laws and our Code of Ethics insider trading policy. Johnson's Global Advisors does not recommend securities in which there is a material financial interest to us or any related person of Johnson's Global Advisors. We will provide a complete copy of the Code of Ethics to any client or prospective client upon request.

#### Personal Trading Practices

Johnson's Global Advisors and our personnel are permitted to purchase or sell securities for themselves, regardless of whether the transaction would be appropriate for a client account. Johnson's Global Advisors and our personnel will at times purchase or sell securities for themselves that we also recommend to clients. In order to avoid conflicts of interest with clients, we require all investment personnel to obtain written approval by our Chief Compliance Officer Martin V. Johnson Jr. before directly or indirectly trading in any security thus avoiding any chance of front running securities.

In order to avoid conflicts of interest with securities transactions in client accounts, Johnson's Global Advisors and personnel are subject to a pre-clearance policy that seeks to make personal trading consistent with our fiduciary duty to clients. However, Johnson's Global Advisors and our personnel are not required to pre-clear transactions in open-end investment companies (mutual funds) that would not adversely affect client interests. ETFs are required to be pre-cleared prior to investment personnel buy or sell transactions.

#### ITEM 12. BROKERAGE PRACTICES

Factors considered in selecting custodian:

Johnson's Global Advisors has authority to determine which securities, the amounts to buy or sell, a custodian to execute client transactions and commission costs for those transactions. Johnson's Global Advisors endeavors to select those custodians that will provide the best execution at the lowest commission rates and costs as possible. We will seek to shop custodial relationships every 3 to 5 years. The reasonableness of the custodial costs is based on the custodian's ability to provide professional services at competitive commission rates.

Johnson's Global Advisors low-cost trading techniques are specifically designed to minimize transaction costs. We incorporate directly into the portfolio construction process both commission and market impact costs. Execution venues include: crossing systems, dark pools, alternative trading systems, direct market access and program trading desks. Clients may direct the use of specific custodian for execution of trades. Directing brokerage may limit the ability of Johnson's Global Advisors to obtain best execution and may result in higher costs and/or less advantageous prices.

Johnson's Global Advisors generally requires that individual clients open one or more custodial accounts in their own names at Vanguard or Interactive Brokers, a registered broker-dealer, member SIPC. Institutional clients are required to open a custodial account with their current custodian

or with the custodian of their choosing. The individual clients will enter into a separate agreement to custody assets. Johnson's Global Advisors also requires that each client grant Johnson's Global Advisors a limited power of attorney to execute client accounts. Johnson's Global Advisors is independently owned and operated, and unaffiliated with custodians. Custodians will charge brokerage commissions (transaction fees and/or ticket charges) for executing securities.

We do not receive any part of these separate charges; custodians provide us with access to their institutional trading and custody services platform, which is typically not available to their retail investors. Custodian services include custody, research, and access to mutual funds and other investments that are otherwise generally available only to institutional investors or would require a significantly higher minimum initial investment. We consider several factors in recommending custodian to clients, such as ease of use, reputation, service execution, pricing and financial strength. Johnson's Global Advisors also takes into consideration the availability of the products and services received or offered.

#### Research and Other Soft Dollar Benefits

Custodians make available to us other products and services that benefit Johnson's Global Advisors but may not directly benefit our clients' accounts. These types of services will help us in managing and administering client accounts.

These include software and other technology that provide access to client account data (i.e. trade confirmations and account statements); facilitate trade executions; provide research, pricing information, and other market data; facilitate in the payment of our fees from client accounts; and assist with back-office functions, record keeping, and client reporting. Many of these services are used to service all or a substantial number of our client accounts.

We place trades for our clients' accounts subject to our duty to seek best execution and other fiduciary duties. We do use other custodians to execute trades for client accounts maintained at current custodian, but this practice may result in additional costs to clients, therefore we are more likely to place trades through current custodian rather than other custodians. Custodian

execution quality is different from that of other custodians. Custodians also provide us with other benefits and services such as client appreciation and educational events, and conferences on regulatory compliance, information technology, and business enterprise development.

Custodian may discount or waive fees they would otherwise charge for some of these services or pay all or a part of the fees of a third party providing these services to Johnson's Global Advisors. These benefits and services are not a material consideration for us in requiring clients use current custodian, however results in material conflicts of interest between us and clients.

As part of our fiduciary duty to clients, Johnson's Global Advisors endeavors at all times to put the interests of our clients first. Clients should be aware, however, that the receipt of economic benefits by Johnson's Global Advisors in and of itself again creates a conflict of interest and may indirectly

influence our recommendation of a custodian for custody services. Johnson's Global Advisors does not receive client referrals, nor compensation or revenue from any custodian or third party in exchange for using that custodian or third party.

#### Directed Brokerage

We do not encourage individual clients to direct us to use a specific custodian to execute securities. Individual Clients are encouraged to use the custodian that Johnson's Global Advisors recommends.

Not all investment managers or advisors request their clients to trade through specific custodians. Since we request all of our clients except our institutional clients to maintain their accounts with our current custodian, it is also important for clients to consider and compare the significant differences between having assets custody at another custodian, bank or other custodian prior to opening an account with current custodian. Some of these differences include, but are not limited to total account costs, trading flexibility, transaction fee/commission rates, and security and technology services.

By requiring clients to use our current custodian, Johnson's Global Advisors believe that we are able to more effectively manage client accounts, achieve favorable execution of client transactions, and overall lower the costs to the client accounts. In seeking best execution through a custodian on behalf of our clients, the determinative factor is not the lowest possible cost, but whether the transaction represents the best qualitative execution.

When taking into consideration qualitative execution, we consider the full range of custodial services, such as: historical relationship, reputation, financial strength, execution capability, commission and/or transaction rates, and responsiveness.

#### Aggregation and Allocation of Transactions

In most cases, Johnson's Global Advisors will recommend the purchase or sale of the same security for multiple clients at the same time. In those cases, Johnson's Global Advisors combines buy and

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sell orders for all clients with the same security order. These are sometimes referred to as "block" transactions.

Block transactions are typically done in an effort to get better trade execution across multiple client accounts. Johnson's Global Advisors will generally allocate the securities or proceeds arising out of those transactions on an average price basis among the various participants in the transactions.

We believe that combining orders in this way will be advantageous to all participating clients. However, the average price could be less advantageous to a particular client than if that client had been the only account affecting the transaction, or if the transaction had been completed before or after the other clients. Johnson's Global Advisors also place orders for the same security for different clients at different times and in different relative amounts due to, among other things, differences in investment objectives, cash availability, size of order, and practicality of participating in "block" transactions.

The level of participation by different clients in the same security may also be dependent upon other factors relating to the suitability of the security for the particular client. There are circumstances when some of a client's transactions in the security will not be aggregated with those of other clients.

#### ITEM 13. REVIEW OF ACCOUNTS

##### Managed Account Reviews

We manage client accounts on a continuous basis and generally review all positions in client accounts at least quarterly. Martin V Johnson Jr. Portfolio Manager conducts internal monthly reviews of client accounts for adherence to a client's Investment Policy Statement. We also offer account reviews directly with a client on an as-requested basis.

Clients may choose to receive reviews in person (local in our office), by telephone, or by email. Reviews mainly focus on changes to a client's IPS which include: a change in the client's investment objectives, general financial situation, tax considerations and material cash deposits or withdrawals in client accounts.

##### Account Reporting

Each client receives a written quarterly statement from the custodian that includes an accounting of all holdings and transactions in the account for the reporting period. In addition, Johnson's Global Advisors provides written reports detailing performance in client accounts on a quarterly basis. We may also provide additional reporting as agreed upon with the client on a case by case basis.

#### ITEM 14. CLIENT REFERRALS AND OTHER COMPENSATION

##### Solicitor



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Johnson's Global Advisors does not currently utilize unaffiliated solicitors nor pay any third parties for referrals.

#### Outside Compensation

Johnson's Global Advisors refers clients or prospective clients to unaffiliated professionals for specific needs such as financial planning, estate planning, or accounting related work. Johnson's Global Advisors does not have any agreements or formal referral arrangements with individuals or companies to whom we refer clients or prospective clients, and we do not receive any compensation for these referrals.

If the client desires, Johnson's Global Advisors will work with other professionals or the client's other advisors (such as an accountant, attorney or financial planner) to help ensure that the professional understands the client's portfolio and to coordinate services for the client. Johnson's Global Advisors will never share information with an unaffiliated professional unless first authorized by the client.

#### ITEM 15. CUSTODY

Johnson's Global Advisors does not have custody of client funds or securities. Clients authorize us to deduct our investment management fees directly from their accounts. Please see item 5 for more information regarding the advisors direct deduction of its management fees from clients' accounts. A qualified custodian holds our clients' accounts; clients will receive statements directly from the qualified custodian at least quarterly.

The statements will reflect each client's funds and securities held with the qualified custodian as well as any transactions that occurred in the account, including the deduction of our investment management fee. Clients should carefully review the account statements received from the qualified custodian.

When clients receive performance reports from Johnson's Global Advisors as well as from the qualified custodian, clients should compare these two reports carefully. Clients with any questions about their statements and reports should contact us at the address or phone number on the cover of this brochure. Clients who do not receive statements from the qualified custodian at least quarterly should also notify us.

#### ITEM 16. INVESTMENT DISCRETION

Advisor shall have full power to direct, manage, and change, on a discretionary basis, the investment and reinvestment of the assets in the account the proceeds thereof, and any additions there to, and to take other action with respect to such assets, all without prior consultation with client, in accordance with such investment objectives as client may, from time to time, have furnished advisor in writing, and subject only to such written limitations as client may impose in their investment policy statement. In providing all services hereunder, advisor is entitled to rely on the financial information and other information provided by client without any duty or obligation to investigate the accuracy or completeness of the information. Advisor does not guarantee the investment performance of any of the investments in the Account.

The "account" shall consist of such cash, securities, assets and other investments that client shall, from time to time, place under the supervision and management of advisor pursuant to this agreement or that become part of the account as a result of transactions therein or otherwise (collectively, the

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"assets"). Client will determine which assets will be transferred to or from the account from time to time and shall provide advisor with prior written notice of any such additions to or withdrawals from the account. Except as otherwise instructed, in writing, by client, all dividends, and interest or other income earned by the account will be retained in the account.

#### ITEM 17. VOTING CLIENT SECURITIES

##### Proxy Voting

Johnson's Global Advisors accepts and has the authority to vote client proxies pertaining to securities held in a client's account. We will vote all proxies in favor of proposals and recommendations of the management of the companies held. Any client who disagrees with this policy reserves the right to vote their own proxies.

A client may request to vote their own proxies by sending a written request to our main office address listed on the cover page of this brochure. Although it is highly unlikely, it is possible that Johnson's Global Advisors is called on to vote a proxy in a situation that causes a conflict of interest.

If any vote would cause a possible conflict of interest, we will contact the client for consent prior to casting a vote on behalf of a client. Information regarding how the proxies pertaining to the client's account voted can be obtained from Johnson's Global Advisors by sending a written request for the information to our main office address listed on the cover page of this brochure.

##### Mutual Funds

The investment advisor that manages the assets of a registered investment company (i.e., mutual fund) generally votes proxies issued on securities held by the mutual fund.

##### Class Actions

Johnson's Global Advisors does not instruct or give advice to clients on whether or not to participate as a member of class action lawsuits and will not automatically file claims on a client's behalf. However, if a client notifies us that the client wishes to participate in a class action, we will provide the client with any transaction information pertaining to the client's account needed for the client to file a proof of claim in a class action.

ITEM 18. FINANCIAL INFORMATION

Registered investment advisers are required to provide a balance sheet if they require or solicit prepayment of more than \$500.00 in fees per client, six months or more in advance. Johnson's Global Advisors does not require or solicit the prepayment of more than \$500.00 in fees per client, six months or more in advance and does not foresee any financial condition that is reasonably likely to impair our ability to meet our contractual commitments to clients under our Investment Management Agreements. We have never been the subject of a bankruptcy petition.

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19E: The firm nor any of its representatives does not have any relationship with any issuers.

Please refer to Form ADV Part 2B: of the Brochure Supplement below in Items 2 and 4.

Form ADV: Part 2B

BROCHURE SUPPLEMENT

Martin V. Johnson Jr.

Johnson's Global Advisors

1180 N. Town Center Dr. Suite 100

Las Vegas, NV 89144

P: 720-645-9987

Website [www.johnsonsglobaladvisors.com](http://www.johnsonsglobaladvisors.com)

Email [info@johnsonsglobaladvisors.com](mailto:info@johnsonsglobaladvisors.com)

PURPOSE OF THE BROCHURE SUPPLEMENT:

This brochure supplement provides information about Martin V. Johnson Jr. which supplements Johnson's Global Advisors firm brochure. If you have not received a copy of this brochure supplement or if you have any questions about the contents of this brochure, please contact Mr. Johnson at the above address.

NOTE:

While Johnson's Global Advisors may refer to itself as a "registered investment advisor" or "RIA" Clients should be aware that registration itself does not imply any level or skill or training.

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Form ADV Part 2B: Brochure Supplement

Item 2: Educational and Business Experience

Item 3: Disciplinary Information

Version Date: July 12<sup>th</sup> 2018

Item 2: EDUCATIONAL AND BUSINESS EXPERIENCE

Martin V. Johnson Jr.

DOB 03-03-1958

Education

Attended University of Arkansas 1978 - 1980 Finance Concentration, did not graduate however.

Business Background

January 2010 - Present

Johnson's Global Advisors and its CEO/President/Portfolio Manager Martin V. Johnson Jr. provides investment advisory services to individual and institutional clients, such as public pension funds, endowments and Fortune 500 corporations domestically and globally principally with an index/ ETF market space mandate. JGA's objective is to build long term client relationships, strive to achieve superior risk adjusted alpha with strategic risk targeted optimized portfolios.

March 1989 - July 2018

Johnson Financial Group

Mr. Johnson President/CEO and Portfolio Manager, has been a licensed securities professional for over 29 years as a wealth manager, registered as an independent licensee/contractor doing business as Johnson Financial Group through smaller boutiques broker dealer firms as well as with international broker dealers covering high net worth clients.

Mr. Johnson also has extensive experience as a generalist equity and fixed income institutional sales/trader. He covers tier 1 and 2 clients such as pension funds, foundations, investment managers, corporate, city, county, and state treasurers domestically and internationally.

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Item 3: DISCIPLINARY INFORMATION

Martin V. Johnson Jr. has not had any disciplinary events in his past. Clients and prospective clients can view the CRD records (registration records) through the SEC's Investment Adviser Public Disclosure (IAPD) website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) or FINRA's Broker Check database on line at [www.finra.org/brokercheck](http://www.finra.org/brokercheck). The CRD number for Martin V. Johnson Jr. FINRA 1858485 and RIA IARD #152757

Form ADV Part 2B: Brochure Supplement

Item 4: Other Business Activities

Item 5: Additional Compensation

Item 6: Supervision

Item 4: OTHER BUSINESS ACTIVITIES

At this juncture Mr. Johnson has no outside business activity other than investment advisory services.

Item 5: ADDITIONAL COMPENSATION

Aside from the fees by the investment advisory services Martin V. Johnson Jr. does not receive any additional compensation from non-clients for providing advisory services. All advisory compensation is paid by clients directly.

Item 6: SUPERVISION

As president of Johnson's Global Advisors Martin V. Johnson Jr. is primarily responsible for supervision of Johnson's Global Advisors Mr. Johnson is an IA Rep himself, his accounts are also reviewed by himself. All financial plan recommendations are reviewed by Mr. Johnson before the financial plan is complete. For portfolio management recommendations, Mr. Johnson reviews all recommended transactions at the end of each day. Mr. Johnson also review accounts as a whole each quarter in conjunction with the calculation of advisory fees. Advisory clients may contact Mr. Johnson directly (720)-645-9987. As president of Johnson's Global Advisors, I will adhere to the firm's policies procedures